

# TUSLA REGULATORY INSPECTION REPORT

**TUSLA Identifier:** TU2015DL129

**Name of Service:** Smallworld Childcare Ltd.

**Address of Service:** Drumlougher,  
Donegal Town,  
Donegal,  
F94 FF82

**Email Address:** louiseगतely@gmail.com

**Name of Registered Service Provider:** Louise Gately

**Type of service registered:** Full Day Care

**Date of Inspection:** 2 0 0 1 2 0 2 1

**No of Pre-School Children present during Inspection:** AM 21 PM 20

**Address of the Early Years Inspectorate:** Early Years Inspectorate,  
Quality Assurance Directorate,  
St Conal's Campus,  
Kilmacrennan Road,  
Letterkenny,  
Co Donegal.

**Inspection undertaken by:** C. Daly  
**Title:** Early Years Inspector

## Areas which were the subject of this Inspection

Governance	Health, Welfare and Development	Safety
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## Authority to Inspect

The Tusla Early Years Inspectorate carries out inspections of Early Years Services under Section 58(J) of the Child Care Act 1991 (as inserted by Section 92 of the Child and Family Agency Act 2013).

**Conditions If Applicable** Not Applicable

<p><b>Description of Service</b></p>	<p><i>Smallworld Childcare Ltd.</i> is a privately owned/operated pre-school service based in Donegal Town offering a full day care, part-time and sessional pre-school service to families in the community. The service is registered to accommodate a maximum of 82 children catering for pre-school children aged between 0 and 6 years. A school age service is also facilitated on the premises.</p> <p>The service’s registered operating hours are 07:45 – 18:00 from Monday to Friday. The pre-school operates from a purpose-adapted premises situated on the outskirts of the town with nearby parking to available to facilitate drop-off and collection. There are five pre-school rooms; <i>Baby Room, Wobbler Room, Toddler Room, Junior Pre-School Room and Senior Pre-School Room.</i></p>
<p><b>Staffing</b></p>	<p>There were 11 adults present on the day of inspection, including the registered provider and of these 9 were working directly with the children.</p>
<p><b>Methodology</b></p>	<p>Tusla’s Early Years Inspectorate (Inspectorate) is the independent statutory regulator of early years services in Ireland. The Child Care Act 1991 (Early Years Services) Regulations 2016 define the duty of a registered provider to ensure the safety and well- being of children and to comply with these regulations. This Act also gives Tusla the authority to assess compliance with the regulations. The purpose of regulation in relation to early years services is to ensure that the care, safety and well-being of children attending such services is upheld.</p> <p>The findings on inspection are based on;</p> <ul style="list-style-type: none"> <li>• Information obtained through examination of documentation</li> <li>• Direct observation</li> <li>• Discussion with relevant staff</li> </ul> <p>This inspection was unannounced and focused on areas of Governance, Health, Welfare and Development of Child and Safety. Inspections may also focus on other areas as required. The inspection process has been amended to minimise the amount of time that inspectors spend in the service. A sampling process was used to assess compliance under regulation 19 health welfare and development of child and regulation 23 Safeguarding health, safety and welfare of child. As a result, the scope of the inspection included the Baby and Wobbler rooms and did not include the Senior ECCE, Junior ECCE or Toddler rooms. The Toddler room was closed on the day of inspection, with no children attending this room.</p> <p>The Inspectorate reserves the right to edit responses received for reasons including: clarity, completeness and compliance with administrative and legal processes. The contents of the report are compiled by the inspectorate body.</p>
<p><b>Additional Information</b></p>	<p>Following the inspection, on the 27th January 2021 an <i>Immediate Action Notice</i> in respect of <i>Regulation 9(2)(c)</i> was issued to the registered provider by the <i>Lead Inspection and Registration Manager</i>.</p> <p>The registered provider submitted a Corrective and Preventive Action (CAPA) plan to the inspectorate on 18/02/21. On the 3rd March 2021 the registered provider attended a Regulatory Compliance Meeting pertaining to the</p>

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	<p><i>Child Care Act 1991 (Early Years Services) Regulations 2016</i>, which was convened by the <i>Lead Inspection &amp; Registration Manager</i> to address the outstanding non-compliances. A revised CAPA response was received on the 12th April 2021 which addressed the non-compliance under Regulation 9 and Regulation 27.</p>
<b>Acknowledgements</b>	<p>The inspector wishes to acknowledge the co-operation of the registered provider, person in charge, staff and children who were present on the day of the inspection.</p>

## GOVERNANCE

### Part III - Management and Staff

#### Regulation 9 - Management and Recruitment

- (2) A registered provider shall ensure that each employee, unpaid worker and contractor is suitable and competent taking into consideration the nature of the needs of children, including by—
- (a) consideration of references from the person's past employers, if any, and in particular the most recent employer, if any,
  - (b) consideration of references from reputable sources in the case of a person who has no past employers,
  - (c) consideration of the vetting disclosure received from the National Vetting Bureau of the Garda Síochána in accordance with the Act of 2012 in respect of the person, and
  - (d) ensuring, insofar as is practicable, that where a person has lived in a state other than the State for a period of longer than 6 consecutive months, he or she provides police vetting from the police authorities in that state.
- (7) A registered provider shall ensure that all employees, unpaid workers and contractors are appropriately supervised and provided with appropriate information and where necessary training, including in relation to the following:
- (a) the policies, procedures and statements of the service specified in Schedule 5;

#### Compliance Information:

At inspection, the registered provider confirmed that there were 17 adults currently working in the setting while the pre-school service was operational, inclusive of the registered provider.

Personnel files in respect of 10 of these 17 adults had been audited and found compliant on the service's previous regulatory inspection in November 2019. In respect of the above-listed elements of Regulation 9(2), personnel files for the 7 remaining named adults listed as currently working in the pre-school service were reviewed. The following vetting information was available:

(2)(a) Of the 14 written references that were required, 7 were available from a past employer.

(2)(b) A further 3 written references were available from a source other than a previous employer.

The service manager presented evidence of validation to confirm that each of these 10 references had been verified by the service.

(2)(c) Garda vetting disclosures from The National Vetting Bureau were available for review for 6 of the adults whose files were reviewed at inspection.

(2)(d) International police vetting from the relevant state was available for one of the adults working in the service for whom documentation presented at inspection indicated that they had resided in another state for a period longer than six consecutive months as an adult.

## Part III - Management and Staff

### Regulation 9 - Management and Recruitment

	<p>(7) (a) There was evidence that the staff had been provided with information and training on the service’s policies and procedures which had been revised to respond to COVID-19;</p> <p>The registered provider stated that all staff members are provided with information, induction and training with regard to the service’s policies, procedures and statements. The registered provider confirmed that both staff and parents had received information on the service’s enhanced infection control measures which were outlined in the service’s <i>COVID-19 Policy and Response Plan</i> and staff members who spoke with the inspector were fully conversant with aspects of the policy and procedures discussed such as actions required should they or a child in their care develop symptoms of COVID-19. A sample of the staff files selected for review also contained forms signed by staff indicating that on returning to work following the period of closure for Early Years services due to COVID-19 they had received induction training or information about the service’s updated policies.</p>
<p>Non-Compliance Information:</p>	<p>In respect of the 7 adults currently working in the service for which personnel files were audited the following non-compliances were noted;</p> <p>(2)(a)(b) Two written and appropriately validated references were not available in respect of one of the adults working in the service. A further two written references corresponding to records of two reference-validations which were presented at inspection were required in respect of one other adult working in the service.</p> <p>9(2)(c) On the day of inspection, a Garda Vetting disclosure was not available for review in respect of one of the adults working in the service. As this staff member’s role involved working directly with children attending the service, the registered provider was required to have consideration of a Garda vetting disclosure received from the National Vetting Bureau.</p> <p>(2)(d) At inspection, the registered provider stated that the staff file for an adult working in the service had been mislaid. As a consequence, the information available for review did not include documentation such as a curriculum vitae or other record of the person’s previous experience to determine if this adult working in the service required international police vetting.</p>
<p>Corrective &amp; Preventive Action submitted by the Registered Provider</p>	<p>Details of the evidence submitted and corrective and preventive actions provided in the registered provider’s Corrective &amp; Preventive Action (CAPA) plan which was submitted to the Inspectorate on 18/02/2021 and revised CAPA plan submitted on 12/04/21 are given below:</p>

## Part III - Management and Staff

### Regulation 9 - Management and Recruitment

#### **CORRECTIVE ACTION**

- (2)(a)(b) References were replaced and phone references no longer viable.
- (2)(c) Garda vetting was replaced and the staff member remained off until it came through.
- (2)(d) The file was re filled and a copy is attached – the staff member remained off until the file was replaced.

#### **PREVENTIVE ACTION**

- (2)(a)(b) Monthly checks to ensure all documents in place.
- (2)(c) Monthly checks to ensure all documents are in place.
- (2)(d) Carry out monthly checks to ensure all documents are in place.

#### **EVIDENCE SUBMITTED**

- (2)(a)(b) On 12/04/21 copies of two written references which had been obtained from a past employer and two written references which had been obtained from a source other than a past employer were submitted to the Inspectorate in respect of the two adults for whom validated written references were unavailable at inspection. These copies were annotated to so as to confirm that each of the 4 references had been verified by the service.
- (2)(c) A copy of a Garda Vetting Disclosure dated 03/02/2021 in respect of the adult concerned was submitted to the Inspectorate on 18/02/21.
- (2)(d) A copy of a Curriculum Vitae in respect of the adult concerned was submitted to the Inspectorate on 18/02/21. This document did not indicate that international police vetting was required in respect of the adult concerned.

#### Summary Comment:

The actions outlined in the service's Corrective & Preventive Action (CAPA) plan together with the associated documentary evidence submitted to the Inspectorate on 18/02/21 and 12/04/21, demonstrates that the non-compliances noted at inspection pertaining to Regulation 9 have been addressed and regulatory compliance has been achieved. The implemented actions will be reviewed on next inspection.

## Part III - Management and Staff

### Regulation 10 - Policies, Procedures etc. of Pre-school service

*A registered provider of a pre-school service shall ensure that the written policies, procedures and statements specified in Schedule 5 are in place for the service.*

#### Compliance Information:

This inspection focused specifically on the service's infection control policy and risk management policy.

#### *(d) policy on infection control;*

The service had developed a policy on infection control specifying the procedures to be followed in the service to protect pre-school children and staff from the transmission of infections. There was evidence that this policy had been updated/augmented to include infection control measures to support the safe re-opening and operation of the service during the COVID-19 pandemic.

#### *(r) risk management policy;*

The registered provider had developed a policy on the manner in which the service assesses the potential risks to the safety of the pre-school children attending the service and the steps to be taken to either eliminate or mitigate risks. The risk management policy had been updated/augmented to include reference to the service's Incident Plan for any suspected case of COVID-19.

## Part III - Management and Staff

### Regulation 11 - Staffing Levels

- (1) Subject to this Regulation, a registered provider shall ensure that there is at all times an adequate number of adults working directly with the children attending the pre-school service*
- (2) Subject to paragraphs (4) and (5), a registered provider of a full day care service or a part-time day care service shall ensure that at all times the minimum ratio of adults to children specified in column (3) of Part 1 of Schedule 6 opposite a particular reference number specified in column (1) of that Part in respect of the age range of the children specified in column (2) thereof at that reference number is satisfied.*

#### Compliance Information:

(1) The requirements for this regulation were met; the inspector observed that an adequate number of adults were working directly with the pre-school children attending the service throughout the period of inspection.

(2) On the day of inspection, the minimum adult/child ratio was maintained at all times. The following table illustrates the adult/child ratios required and the adults and children who were present in the pre-school care rooms on the day of the inspection;

## Part III - Management and Staff

### Regulation 11 - Staffing Levels

Room	Number and age range of children present	Adult / Child ratio required	Number of adults required	Number of adults provided
<i>Baby room</i>	2 ( 0 – 1 year ) 1 ( 1 – 2 years )	1 – 2 years = 1:3 1 – 2 years = 1:5	1	1
<i>Wobbler room</i>	5 ( 1 – 2 years ) 2 ( 2 – 3 years )	1 – 2 years = 1:5 2 – 3 years = 1:6	2	2
<i>Toddler room</i>	The <i>Toddler</i> pre-school room was not in operation on the day of inspection.			
<i>Junior Pre-school room (Part Time / Full Day Care)</i>	4 ( 3 – 6 years )	3 – 6 years = 1:8 <i>Full day care basis</i>	1	1
<i>Senior Pre-school room (Part Time / Full Day Care)</i>	7 ( 3 – 6 years )	3 – 6 years = 1:8 <i>Full day care basis</i>	1	2*

## Part V - Care of Child in Pre-school Service

### Regulation 19 - Health, Welfare and Development of Child

*(1) A registered provider shall, in providing a pre-school service, ensure that—*

*(a) each child's learning, development and well-being is facilitated within the daily life of the pre-school service through the provision of the appropriate activities, interaction, materials and equipment, having regard to the age and stage of development of the child*

#### Compliance Information:

(1)(a) The registered provider and staff of the service had ensured that each child's learning, development and well-being was being facilitated as observed by the following –

#### **Supporting Relationships Around Children:**

The inspector observed a caring, calm, friendly and affirming atmosphere in the pre-school setting. Childcare practitioners were observed to be attuned to and responsive to the needs of the children who appeared to be happy and comfortable in their care. The adults demonstrated warmth and sensitivity in their interactions with the children by using soft vocal tones, listening attentively and offering meaningful praise and encouragement for their involvement in activities and on completion of tasks. They provided guidance and encouragement towards positive behaviour through affirmation and were observed fostering respectful child-to-child relationships. Throughout the inspection the childcare practitioners were observed assisting children to enjoy play with others, supporting them in developing new friendships and facilitating child-led activities during both outdoor and indoor play.

The childcare practitioners demonstrated that they knew the children well, recognising and valuing their individual learning dispositions, interests and needs. A key-worker system was operated whereby the children are assigned an identified practitioner and there was evidence of ongoing observation of children's learning, development and emerging interests.

Staff whom the inspector observed working with the children in the service demonstrated effective strategies to support the children's positive social, emotional and behavioural wellbeing which included affirmational reinforcement of good behaviour, a flexible and responsive routine, supporting children in handling emotions and conflict situations, assisting children to make transitions and modelling positive ways of relating to the children, their parents and each other.

The inspector observed staff effectively balanced the need for a practical and sensible level of caution in responding to the ongoing COVID-19 pandemic with the need to provide a nurturing and supportive environment for children. On the day of inspection children were prompted about basic care needs including appropriate handwashing and were successfully supported by staff to be self-caring, according

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### Regulation 19 - Health, Welfare and Development of Child

to their stage of development. Children's needs for physical comfort and nurturing were sensitively attended to with staff offering comfort and support in a nurturing manner if they sought reassurance or if they became upset. The children were forming secure attachments to their care-givers, for example they chatted comfortably with them about family or other daily experiences during care procedures such as nappy changing and meal times and staff related well to the children in their care throughout the inspection encouraging them in their choices of activities and play.

Staff indicated that they valued good communication with the children's parents/guardians. They communicated verbally with parents at drop-off and collection times. Each pre-school room had a designated entrance/exit which facilitated this and the inspector observed physically-distanced, exchanges of information and positive hand-over interactions between childcare practitioners and parents of children attending the Wobbler room on the morning of inspection.

The registered provider also indicated that there is ongoing engagement with and provision of feedback support to parents and guardians through email and telephone. Similarly, some of the childcare practitioners informed the inspector that they regularly spoke with parents by telephone to communicate with parents about their child's pre-school experiences and to help bridge the gap between home and pre-school. The registered provider also informed the inspector of the service's plan to introduce a digital application in the coming months to further facilitate exchange of information between the service and parents on a real-time basis.

#### **Physical And Material Environment:**

*Outdoor play:* Regular opportunities for outdoor play were afforded to the pre-school children on an ongoing basis. Staff understood and valued the importance of children accessing outdoor play and fresh air and confirmed that outdoor play was scheduled as an integral part of the daily pre-school routine. The service had access to three distinct spaces for the provision of outdoor play. This sub-division created different play areas that could be assigned for use by particular groups or play-pods at any one time; a fully-enclosed outdoor area featuring a wet pour soft-surface was available for use by the younger children to the front of the pre-school premises and two larger spaces where ground cover consisted predominately of woodchip mulch were situated at the side of the pre-school.

These outdoor play-areas contained a selection of facilities to support the children's creativity and promote play, movement, adventure and challenge through the natural environment and outdoors play-experiences. The registered provide spoke of plans to further enhance the outdoor learning environment and resources in the

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coming months. On the day of inspection children attending the Junior pre-school room were observed engaging in outdoor play. Staff spoke to the inspector about extending 'indoor' learning opportunities to the outdoor environment and on the day of inspection some of the children enjoyed listening to and discussing a storybook read by their childcare practitioner during their outdoor activities. Their outdoor area included spaces/zones to facilitate quieter activities such as story-time in addition to ample free space for the children attending to run and move about unhindered. The children enjoyed energetic playground games such as 'hide and seek' in which staff joined enthusiastically as participative play-partners.

Within the children's pre-school room, the service had provided a range of play, rest and recreation activities to meet the children's individual and collective needs and interests in a welcoming and inviting setting.

The indoor play-environment of the *Baby* and *Wobbler* pre-school rooms were bright, comfortable and child-friendly spaces which contained ample and varied provision of play materials and equipment suitable for the age range and number of children attending. For example;

- The *Baby room* featured varied and easily accessible floor space which included soft play mats, low level mirrors and stable props for the learner walker where the infants could comfortably explore and refine their developing movement and mobility skills. There were soft-furnished areas where the children could sit or relax when taking a rest from exploring their pre-school room and adult seating was available for staff to use when offering comfort or assistance to young children.
- A good selection of easily-cleaned play resources were available including a ball-pit, treasure baskets/boxes, push up/pop up toys, activity centres and sensory-play equipped to encourage development of motor skills, assist language development and support the infant's cognitive growth by activating reasoning and problem-solving skills.
- The *Wobbler* pre-school room was a bright, colourful space offering a range of play experiences which had been organised to prompt children to engage in self-directed play and exploration and stimulate their engagement and discovery. The low-level shelving units in the pre-school room ensured that a good selection of the play materials and equipment provided were attractively-displayed and easily accessible to children who appeared familiar with navigating their environment to independently access the toys, equipment and materials they chose to play with. Some of the interest areas provided included a transport area, dolls area, small-world play landscapes and resources (toy

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animals, figurines and vehicles), a kitchen/home corner and low-level mark-making resources in addition to a variety of puzzles and fine motor toys.

- Storage facilities were available for the children's outdoor clothing and other individual items. The children's sense of identity and belonging was being reflected throughout their pre-school with wall space was used to display a 'birthday wall' in the Baby Room and planning for curriculum/programme of activities on display in the Wobbler Room.

At the entrance to the pre-school signage relating to COVID-19 was available in languages other than English, reflecting the diversity of languages which the pre-school children attending the service spoke with their families at home.

In an effort to suppress transmission of COVID-19 which is more readily transmissible in poorly ventilated indoor environments, the service's *COVID-19 Policy and Response plan* set out the aim to ventilate the children's indoor environment as much as possible whilst being mindful of maintaining ambient temperature requirements. During the inspection, the inspector noted that in practice, the children's play rooms were well-ventilated with some windows slightly ajar throughout the day and through the opening of windows in advance of children being in the sleep room. The ambient air-temperature throughout the children's indoor play environment was measured at 18.7°C (Wobbler Room) and 18.0°C (Baby Room) which was within the recommended temperature range of 18°C - 20°C. Likewise, the air temperature of the sleep room was measured at 16.9°C which was within the recommended temperature range of 16°C - 18°C for sleep environments.

## Part VI - Safety

### Regulation 23 - Safeguarding Health, Safety and Welfare of child

*A registered provider shall ensure that all reasonable measures are taken to safeguard the health, safety and welfare of a pre-school child attending the service and that the environment of the service is safe.*

Compliance Information:

#### General Safety:

- On arrival to the service there was a secure main entrance leading into a foyer area of the service and CCTV was in place. Throughout the inspection, it was noted that access to and egress from the setting was controlled by staff so as to prevent children from exiting the service unsupervised and ensuring staff were aware of visitors seeking access to the pre-school setting.
- Staff were observed to complete a risk assessment of the outdoor play area to ensure that hazards in the outdoor facilities were identified and addressed. The inspector observed that childcare practitioners carefully supervised the children during activities in the outdoor play area and a large proportion of the outdoor play area's surface featured a soft all-weather ground cover (wood/bark mulch). This reduced the risk of injury arising from slips, trips and falls in this area.
- The registered provider confirmed that there is safe storage available for cleaning agents and equipment and during the inspector's visit no hazardous agents such as cleaning products or medications were observed within reach of the children.
- Hand sanitiser was available at the entry to each of the pre-school rooms and this was kept safely out of reach of young children.
- Toys and play equipment inspected in each of the pre-school rooms were observed to be appropriate for the age group using them and were organised in a manner that allowed for safe and free movement of the children within the service. Stable-based low level shelving was used to store play materials and equipment in the childcare rooms helping to reduce the risk of furniture toppling over and causing injury.
- A first aid box was prominently positioned in a conspicuous and easily accessible location in the hallway near the service's main entrance.

#### Infection Control:

- Documented and up-to-date cleaning schedules were available and the premises, its play equipment and materials were maintained in a visibly clean and hygienic condition.
- Play materials that cannot be effectively cleaned following use such as playdough or 'gloop' used for messy play are discarded / replaced daily.

## Part VI - Safety

### Regulation 23 - Safeguarding Health, Safety and Welfare of child

- The registered provider confirmed that parents had been provided with information and updates to the service's policies and procedures including information on attendance at the early years setting in line with public health guidance. Signage was on display at the entrance to the service advising parents and children not to attend if they had any symptoms of COVID-19.
- The service was utilising a 'play-pod' approach to ensure children were kept in small, consistent groups (play-pods) to minimise contact and sharing of common areas and equipment between separate groups of children and adults in the pre-school.
- The service's drop-off and collection procedures had been revised to minimise interaction between different play-pods. Each pod had been allocated a designated entry/exit to the building for the purposes of children's arrival and collection.
- An incident plan was available which outlined the actions to be taken in the event that a child or staff member displayed symptoms of or developed COVID-19 whilst attending the service and staff who spoke with the inspector regarding this were familiar with the actions and processes to be followed should this event arise.
- Liquid soap and single use paper towels were available at all wash hand basins. Alcohol-based hand sanitiser was also provided at the entrances of the pre-school rooms and at various locations throughout the service. Pictorial hand hygiene posters were positioned throughout the setting to remind staff and children on the appropriate hand washing / sanitising technique. Effective hand hygiene practices were observed during the inspection, for example; children's hands were washed on arrival to the service, prior to meal times and following nappy changing procedures. Children were supervised when carrying out hand hygiene.
- An adequate supply of personal protective equipment (PPE) was available in the service.
- Staff in the *Baby room* confirmed that children's soothers were sterilised routinely. These were stored so that each individual child's soother was clearly identifiable with no sharing of soothers between children to prevent cross contamination.
- The pre-school rooms were adequately ventilated by openable windows and the sanitary (nappy-changing facilities) could be ventilated by mechanical ventilation.

## Part VI - Safety

### Regulation 23 - Safeguarding Health, Safety and Welfare of child

- Foot pedal operated bins were available for waste disposal in the pre-school rooms
- Attendance records for children, staff and where unavoidable, any visitors to the setting were maintained to facilitate effective contact-tracing in the event of an outbreak of infectious disease in the setting. Attendance records included details of staff and children in each play-pod.
- Staff were observed making conscientious efforts to adhere to physical distancing where possible and wiped down commonly touched surfaces such as the service's shared telephone following use.

#### Safe Sleep:

- There was a comfortable rest are available in each of the pre-school rooms should a child wish to rest, relax or take a break from activities during the day. For sleeping, all children aged less than 2 years had access to a standard cot for their individual use.
- Adequate space, in excess of the required 50cm, was provided between the cots in the sleep room which was used to accommodate sleep for the children aged under 2 years.
- Information regarding Sudden Infant Death Syndrome (SIDS) and safe sleep practices such as the appropriate air temperature and placing babies to sleep on their backs with their feet to the foot of the cot was on display at the entrance to the sleep room. Staff were observed physically entering the sleep room to check on sleeping children at 10 minute intervals and those who spoke with the inspector demonstrated a good awareness of safe sleep practices including checking the sleeping child's breathing pattern, colour and position and the temperature of the sleep room. The service's sleep log has recently been revised and ensures that these details are recorded as part of the service's routine 10 minute checks. The registered provider confirmed that ongoing monitoring is planned to ensure that all appropriate elements of sleep checks are carried out and documented safely.
- Staff confirmed that sleep room temperatures were routinely monitored to ensure the safe temperature range of 16-20 °C was maintained. The inspector noted that during the children's sleep, the sleep room temperature recorded by calibrated thermometer was maintained within the recommended temperature range.

## Part VI - Safety

### Regulation 23 - Safeguarding Health, Safety and Welfare of child

<p>Non-Compliance Information:</p>	<p><b>Safe Sleep:</b></p> <ol style="list-style-type: none"> <li>1. One of the cots in use in the sleep room was located directly beside a low-level window in the cot room. The accessible window had an unrestricted opening of approximately 60cm, was just over 1 metre from floor level and its internal window ledge provided a foothold through the bars of the adjacent cot which posed a risk to the safety of the child using the cot should they attempt to climb out of the cot and fall. Cots located close to windows can also be subject to variation in temperatures caused by sunlight. All cots used by the service should be positioned away from potential risks.</li> </ol>
<p>Corrective &amp; Preventive Action submitted by the Registered Provider</p>	<p>Details of the evidence submitted and corrective and preventive actions provided in the registered provider's Corrective &amp; Preventive Action (CAPA) plan which was submitted to the Inspectorate on 18/02/2021 are given below:</p> <p><b><u>Corrective Action</u></b></p> <p><b>Safe Sleep:</b></p> <ol style="list-style-type: none"> <li>1. The window ledge in question has been built up to remove the step and the cot has been removed away from the area. A space lock has been placed on the window also to ensure no further risk.</li> </ol> <p><b><u>Preventive Action</u></b></p> <p><b>Safe Sleep:</b></p> <ol style="list-style-type: none"> <li>1. The area will be checked each morning to ensure the cots are spaced evenly apart and that no cot is next to the window</li> </ol> <p><b><u>Evidence Submitted</u></b></p> <p><b>Safe Sleep:</b></p> <ol style="list-style-type: none"> <li>1. A photograph showing works completed to make the window inaccessible to children using the cot room by raising the height from ground level of the internal window ledge and moving the cot away from the window (submitted to the Inspectorate on 18/02/21).</li> </ol>
<p>Summary Comment:</p>	<p>The service's Corrective Action and Preventive Action (CAPA) response received by the Inspectorate on 18/02/21 has addressed the non-compliance in relation to Regulation 23, therefore regulatory compliance has been demonstrated regarding the issue identified at inspection as detailed above.</p>

## Additional Significant Risk(s) to Children

### Additional Significant Risk Identified

#### Regulation 27 – Supervision

27. A registered provider shall ensure that pre-school children attending the service are supervised at all times.

<p>Non-Compliance Information:</p>	<p><i>A significant risk in relation to the safety of the children has been identified in relation to Regulation 27 (Supervision).</i></p> <p>(27) The registered provider did not ensure that pre-school children were adequately supervised at all times while attending the pre-school service as outlined below;</p> <p>The inspector observed that two children in the <i>Baby</i> room aged under 18 months were left unsupervised on at least two occasions for periods of approximately 3 – 4 minutes on the morning of inspection. This occurred whilst the staff member assigned to work in the pre-school room changed another child’s nappy in the adjacent nappy-changing area. It is acknowledged that the staff member performing the nappy change could have had partial sight of the two children in the <i>Baby</i> room through a viewing panel in the nappy changing area, however as this staff member was fully occupied in carrying out the nappy change they could not be expected to concurrently provide adequate supervision for the two children remaining in the <i>Baby</i> room.</p>
<p>Corrective &amp; Preventive Action submitted by the Registered Provider</p>	<p>Details of any evidence submitted and corrective and preventive actions provided in the registered provider’s Corrective &amp; Preventive Action (CAPA) plan which was submitted to the Inspectorate are given below:</p> <p><b><u>Corrective Action</u></b> The ratio query was rectified by ensuring 2 staff members in the room at all times - even if the ratios drop to 3 babies.</p> <p><b><u>Preventive Action</u></b> Ensure staff members know to have ample staff available to stay in the room if staff member needs to leave the room for the nappy room or sleep room.</p> <p><b><u>Evidence Submitted</u></b> No evidence of the stated actions submitted by the registered provider was provided in the Corrective &amp; Preventive Action (CAPA) plan submitted to the Inspectorate on 18/02/2021 and 12/04/21.</p>
<p>Summary Comment</p>	<p>Based on the Corrective and Preventive action submitted by the registered provider on the 12<sup>th</sup> April 2021 the minimum requirement is met. The implemented actions will be reviewed on next inspection.</p>